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REPORT OF GEOTECHNICAL EXPLORATION AND REVIEW

Proposed Storm Shelter
Waubay, South Dakota

Report No. 32-20516

Date:

June 1, 2020

Prepared for:

City of Waubay
45 North Main
P.O. Box 155
Waubay, South Dakota 57273





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June 1, 2020

City of Waubay
45 North Main
P.O. Box 155
Waubay, South Dakota 57273

Attn: Mr. Devlin Benike
citywaub@itctel.com

RE: Geotechnical Exploration and Review
Proposed Storm Shelter
Waubay, South Dakota
Report No. 32-20516

Dear Mr. Benike:

American Engineering Testing, Inc. (AET) is pleased to present the results of our subsurface exploration program and geotechnical engineering review for a proposed storm shelter building in Waubay, South Dakota. Our work was performed in accordance with the acceptance of our proposal dated May 11, 2020. We are submitting an electronic copy of our report to you, as well as to the party noted below.

Please contact me if you have any questions about the report. I can also be contacted for arranging construction observation and testing services during the earthwork phase.

Sincerely,
American Engineering Testing, Inc.

A handwritten signature in black ink, appearing to read 'Zane L. Hiller', is written over a light blue horizontal line.

Zane L. Hiller, EI
Project Manager
Phone: (605) 595-8769
zhiller@amengtest.com

ZLH/zh

cc: Banner Associates, Inc.

Page i

SIGNATURE PAGE

Prepared for:


City of Waubay
45 North Main
P.O. Box 155
Waubay, South Dakota 57273

Attn: Devlin Benike

Prepared by:

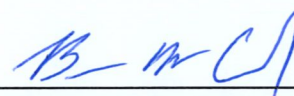
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Authored by:



Zane L. Hiller, EI
Project Manager

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1.0 INTRODUCTION

You are proposing to construct a storm shelter building just west of the existing fire station in Waubay, South Dakota. To assist in planning and design, you have authorized American Engineering Testing, Inc. (AET) to conduct a subsurface exploration program at the site, conduct soil laboratory testing, and perform a geotechnical engineering review for the project. This report presents the results of the above services and provides our engineering recommendations based on this data.

2.0 SCOPE OF SERVICES

AET's services were performed according to the acceptance of our proposal dated May 11, 2020. The authorized scope consists of the following:

- Contacting South Dakota One Call for locating utilities at the site.
- Four (4) standard penetration test borings to a depth of 16 feet.
- Soil laboratory testing.
- Geotechnical engineering analysis based on the gained data and preparation of this report.

These services are intended for geotechnical purposes. The scope is not intended to explore for the presence or extent of environmental contamination.

3.0 PROJECT INFORMATION

We understand the proposed project will consist of the construction of storm shelter located along the west side of the existing fire station in Waubay, South Dakota. The building will have overall dimensions of approximately 36' x 80' and will be a single-story, slab-on-grade structure with precast exterior walls. Additional details regarding the proposed construction were not available at the time of this report, however, we normally associate light to moderate loadings for a structure of this type.

The above stated information represents our understanding of the proposed construction. This information is an integral part of our engineering review. It is important that you contact us if there are changes from that described so that we can evaluate whether modifications to our recommendations are appropriate.

4.0 SUBSURFACE EXPLORATION AND TESTING

4.1 Field Exploration Program

The subsurface exploration program conducted for the project consisted of four (4) standard penetration test (SPT) borings completed at the site of the proposed storm shelter building in Waubay, South Dakota. The logs of the borings and details of the methods used appear in Appendix A. The logs contain information concerning soil layering, soil classification, geologic description, and moisture condition. Relative density or consistency is also noted for the natural soils, which is based on the standard penetration resistance (N-value).

The approximate boring locations are shown on the Boring Location Map included in Appendix A. The borings were located in the field by AET personnel by taping from nearby site features. Surface elevations were referenced to the floor elevation of the existing fire station building to the east of the proposed storm shelter. An elevation of 100.0' was assumed at this location for the purpose of our work. The elevation for the boring based on the referenced datum is shown at the top of the boring log included in Appendix A.

4.2 Laboratory Testing

The laboratory test program included water content and percent passing a #200 sieve. The test results appear in Appendix A on the individual boring logs adjacent to the samples upon which they were performed.

5.0 SITE CONDITIONS

5.1 Surface Observations

The proposed project site is located to the southeast of the intersection of 1st Street and 1st Avenue in Waubay, South Dakota. Nearby site features include a combination of commercial and residential construction. The general site topography is relatively level with surface elevations at the boring locations ranging from 98.8' to 99.7' based on the referenced datum.

5.2 Subsurface Soils/Geology

The site geology for the area of the proposed storm shelter building generally consists of a layer of fill at the surface followed by sand coarse alluvium which extended to the termination depth of the borings at 16' below existing grade.

5.3 Ground Water

Ground water was not observed in the borings during our drilling operations. Due to the amount of sand soils encountered, it is our opinion the water table was below the depth of our borings at the time of our subsurface exploration.

Ground water levels should be expected to fluctuate seasonally and yearly. The time of year that the borings were drilled, and the history of precipitation prior to drilling, should be known when using the water level information on the soil boring logs to extrapolate water levels at other points in time.

5.4 Review of Soil Properties

5.4.1 Fill

The existing fill consisted of a mixture of clayey sand and sandy lean clay with a little gravel which was dark brown to brown and gray in color. There was some organics as well. The fill soils are relatively slow draining and are judged to be at least moderately frost susceptible.

5.4.2 Coarse Alluvium

The coarse alluvial soils are classified as brown, fine to medium grained sand soils. The sand soils are loose to medium dense. These soils are relatively free draining and not considered to be frost susceptible.

6.0 RECOMMENDATIONS

6.1 Approach Discussion

Based on the results of the field exploration and laboratory testing, it is our opinion the natural sand soils are suitable for direct support of the footings for the structure. We recommend complete removal of all existing fill soils beneath all new foundations. A portion of the existing fill can be left in place beneath the new floor slab provided at least 2' of granular engineered fill is placed between the existing fill and the new floor slab.

6.2 Grading

6.2.1 Excavation

To prepare the building area for foundation support, we recommend removal of all existing fill soils to expose the natural sand soils at the site. This will require excavation depths of 2' to 6.5' based on the borings. We also recommend the excavation be extended to provide at least 2' of new granular engineered fill beneath the floor slab areas.

The depth of excavation indicated above is based on the soil conditions at the specific boring locations. Since conditions will vary away from the boring locations, it is recommended that AET geotechnical personnel observe and confirm the competency of the soils in the entire excavation bottom prior to placement of engineered fill.

Since the excavation may extend below foundation grade, the excavation bottom and resultant engineered fill system must be oversized laterally beyond the planned outside edges of the foundations to properly support the lateral loads exerted by that foundation. This excavation/engineered fill lateral extension should at least be equal to the vertical depth of fill needed to attain foundation grade at that location (i.e., 1:1 lateral oversize).

The risk of soil disturbance increases significantly when water is present. The amount of water encountered by the excavation at the site will be dependent upon seasonal fluctuations, the excavation depths required, and the amount of sands encountered. Since ground water was not observed in our test borings, encountering ground water during excavation is not anticipated. Controlling any water entering the foundation excavations can be managed with normal sump pumping procedures. Any water which does collect in the open excavation should be quickly removed and surface drainage away from the excavation should be provided during construction. Any tile or utility lines present should be rerouted around the proposed construction area.

6.2.2 Fill Placement and Compaction

The granular portion of the existing fill soils can be reused as fill beneath the proposed storm shelter building.

For ease of placement and compaction, we recommend using a granular material with a maximum size of 2" and less than 10% fines for any fill imported to the site.

Backfill placed to attain grade for foundation or slab support should be moisture-conditioned to 2 percent within optimum moisture content, and compacted in thin lifts, such that the entire lift achieves a minimum compaction level of 95% of the standard maximum dry unit weight per ASTM:D698 (Standard Proctor test).

6.3 Foundation Design

6.3.1 Spread Footing Foundations

The storm shelter building can be supported on conventional spread footing foundations placed on the natural sand soils or an engineered fill placed from the level of the natural sand soils. We recommend perimeter foundations for heated building space be placed such that the bottom is a minimum of 48 inches below exterior grade. We recommend foundations for unheated building space be extended to a minimum of 60 inches below exterior grade.

Report of Geotechnical Exploration and Review

Proposed Storm Shelter Building, Waubay, SD

June 1, 2020

Report No. 32-20516

AMERICAN
ENGINEERING
TESTING, INC.

Based on the conditions encountered, it is our opinion the foundations can be designed based on a net maximum allowable soil bearing pressure of 2,500 psf if the site is prepared as recommended above. It is our judgment this design pressure will have a factor of safety of at least 3 against localized shear or base failure. We judge that total settlements under this loading should not exceed 1 inch. We also judge that differential settlements should not exceed ½ inch.

6.4 Lateral Earth Pressures

Assuming that any portion of the structure that will experience lateral earth pressures will be rigid and no deflection can take place during or following backfilling, we recommend an at rest equivalent fluid pressure of 60 pcf be used above the groundwater level for the backfill soils. For submerged conditions, we recommend that an at rest equivalent fluid pressure of 110 pcf or an active equivalent fluid pressure of 90 pcf be used.

The values calculated for the above parameters would provide ultimate values. We recommend a minimum safety factor of at least 1.5 be applied to the calculated lateral values. The above noted equivalent fluid pressures assume the backfill soils adjacent to the walls will be compacted to a range of 95% to 100% of the Standard Proctor density.

6.5 Floor Slab Design

For concrete slab design, we estimate the new granular fill should provide a Modulus of Subgrade Reaction (k-value) of at least 150 pci.

6.6 Exterior Building Backfilling

Many of the on-site soils are at least moderately frost susceptible. Because of this, certain design considerations are needed to mitigate these frost effects. For details, we refer you to the attached sheet entitled “Freezing Weather Effects on Building Construction.”

7.0 CONSTRUCTION CONSIDERATIONS

7.1 Potential Difficulties

7.1.1 Runoff Water in Excavation

Water can be expected to collect in the excavation bottom during times of inclement weather or snow melt. To allow observation of the excavation bottom, to reduce the potential for soil disturbance, and to facilitate filling operations, we recommend water be removed from within the excavation during construction. Based on the soils encountered, we anticipate the ground water can be handled with conventional sump pumping.

7.1.2 Disturbance of Soils

The on-site soils can become disturbed under construction traffic, especially if the soils are wet. If soils become disturbed, they should be sub-cut to the underlying undisturbed soils. The sub-cut soils can then be dried and recompact back into place, or they should be removed and replaced with drier imported fill.

7.1.3 Winter Construction

If construction occurs during the winter, it is necessary for the contractor to protect the base soils from freezing each day and each night before new fill is placed. Fill should not be placed over frozen soils, snow, or ice, nor should the use of frozen fill soils be permitted. The contractor must protect base soils from freezing before and after fill placement, and before, during, and after concrete placement. We recommend that a special pre-construction meeting be held to discuss the procedures and precautions that must be followed.

7.2 Excavation Backsloping

If excavation faces are not retained, the excavations should maintain maximum allowable slopes in accordance with *OSHA Regulations (Standards 29 CFR), Part 1926, Subpart P, "Excavations"* (can be found on www.osha.gov). Even with the required OSHA sloping, water seepage or surface runoff can potentially induce side-slope erosion or running which could require slope maintenance.

7.3 Observation and Testing

The recommendations in this report are based on the subsurface conditions found at our test boring locations. Since the soil conditions can be expected to vary away from the soil boring locations, we recommend on-site observation by a geotechnical engineer/technician during construction to evaluate these potential changes. Soil density testing should also be performed on new fill placed in order to document that project specifications for compaction have been satisfied.

8.0 LIMITATIONS

Within the limitations of scope, budget, and schedule, our services have been conducted according to generally accepted geotechnical engineering practices at this time and location. Other than this, no warranty, either expressed or implied, is intended.

Important information regarding risk management and proper use of this report is given in Appendix B entitled “Geotechnical Report Limitations and Guidelines for Use”.

EXCAVATION AND REFILLING FOR STRUCTURAL SUPPORT

EXCAVATION

Excavations for structural support at soil boring locations should be taken to depths recommended in the geotechnical report. Since conditions can vary, recommended excavation depths between and beyond the boring locations should be evaluated by geotechnical field personnel. If ground water is present, the excavation should be dewatered to avoid the risk of unobservable poor soils being left in-place. Excavation base soils may become disturbed due to construction traffic, ground water, or other reasons. Such soils should be subcut to underlying undisturbed soils. Where the excavation base slopes at an angle steeper than 4H:1V, the excavation bottom should be benched across the slope parallel to the slope contour.

Soil stresses under foundations spread out with depth. Therefore, the excavation bottom and subsequent fill system should be laterally oversized beyond foundation edges to support these stresses. A lateral oversize equal to the depth of fill below the foundation (i.e., 1:1 oversize) is usually recommended. The lateral oversize is usually increased to 1.5:1 to 2:1 where compressible organic soils are exposed on the excavation sides. Variations in oversize requirements may be recommended in the geotechnical report or can be evaluated by the geotechnical field personnel.

Unless the excavation is retained, the backslopes should be maintained in accordance with OSHA Regulations (Standards - 29 CFR), Part 1926, Subpart P, "Excavations" (found on www.osha.gov). Even with the required OSHA sloping, ground water can induce sideslope raveling or running which could require that flatter slopes or other approaches be used.

FILLING

Filling should proceed only after the excavation bottom has been approved by the geotechnical engineer/technician. Approved fill material should be uniformly compacted in thin lifts to the compaction levels specified in the geotechnical report. The lift thickness should be thin enough to achieve specified compaction through the full lift thickness with the compaction equipment utilized. Typical thicknesses are 6" to 9" for clays and 12" to 18" for sands. Fine grained soils are moisture sensitive and are often wet (water content exceeds the "optimum water content" defined by a Proctor test). In this case, the soils should be scarified and dried to achieve a water content suitable for compaction. This drying process can be time consuming and labor intensive, and will require favorable weather.

Select fill material may be needed where the excavation bottom is sensitive to disturbance or where standing water is present. Sands (SP) which are medium to coarse grained are preferred, and can be compacted in thicker lift thicknesses than finer grained soils.

Filling operations for structural support should be closely monitored for fill type and compaction by a geotechnical technician. Monitoring should be on a full-time basis in cases where vertical fill placement is rapid; during freezing weather conditions; where ground water is present; or where sensitive bottom conditions are present.

EXCAVATION/REFILLING DURING FREEZING TEMPERATURES

Soils that freeze will heave and lose density. Upon thawing, these soils will not regain their original strength and density. The extent of heave and density loss depends on the soil type and moisture condition; and is most pronounced in clays and silts. Foundations, slabs, and other improvements should be protected from frost intrusion during freezing weather. For earthwork during freezing weather, the areas to be filled should be stripped of frozen soil, snow, and ice prior to new fill placement. In addition, new fill should not be allowed to freeze during or after placement. For this reason, it may be preferable to do earthwork operations in small plan areas so grade can be quickly attained instead of large areas where much frost stripping may be needed.

FREEZING WEATHER EFFECTS ON BUILDING CONSTRUCTION

GENERAL

Because water expands upon freezing and soils contain water, soils which are allowed to freeze will heave and loose density. Upon thawing, these soils will not regain their original strength and density. The extent of heave and density/strength loss depends on the soil type and moisture condition. Heave is greater in soils with higher percentages of fines (silts/clays). High silt content soils are most susceptible, due to their high capillary rise potential which can create ice lenses. Fine grained soils generally heave about 1/4" to 3/8" for each foot of frost penetration. This can translate to 1" to 2" of total frost heave. This total amount can be significantly greater if ice lensing occurs.

DESIGN CONSIDERATIONS

Clayey and silty soils can be used as perimeter backfill, although the effect of their poor drainage and frost properties should be considered. Basement areas will have special drainage and lateral load requirements which are not discussed here. Frost heave may be critical in doorway areas. Stoops or sidewalks adjacent to doorways could be designed as structural slabs supported on frost footings with void spaces below. With this design, movements may then occur between the structural slab and the adjacent on-grade slabs. Non-frost susceptible granular soils (with less than 12% passing a #200 sieve) can be used below such areas. Depending on the function of surrounding areas, the granular soil layer may need a thickness transition away from the area where movement is critical. With granular soil placement over slower draining soils, subsurface drainage would be needed for the granular layer. High density extruded insulation could be used within the granular soils to reduce frost penetration, thereby reducing the granular soil thickness needed. We caution that insulation placed near the surface can increase the potential for ice glazing of the surface.

The possible effects of adfreezing should be considered if clayey or silty soils are used as backfill. Adfreezing occurs when backfill adheres to rough surfaced foundation walls and lifts the wall as it freezes and heaves. This occurrence is most common with masonry block walls, unheated or poorly heated building situations and clay backfill. The potential is also increased where backfill soils are poorly compacted and become saturated. The risk of adfreezing can be decreased by placing a low friction separating layer between the wall and backfill.

Adfreezing can occur on exterior piers (such as deck, fence or other similar pier footings), even if a smooth surface is provided. This is more likely in poor drainage situations where soils become saturated. Additional footing embedment and/or widened footings below the frost zones (which include tensile reinforcement) can be used to resist uplift forces. Specific designs would require individual analysis.

CONSTRUCTION CONSIDERATIONS

Foundations, slabs, and other improvements which may be affected by frost movements should be insulated from frost penetration during freezing weather. If filling takes place during freezing weather, all frozen soils, snow, and ice should be stripped from areas to be filled prior to new fill placement. The new fill should not be allowed to freeze during transit, placement, or compaction. This should be considered in the project scheduling, budgeting, and quantity estimating. It is usually beneficial to perform cold weather earthwork operations in small areas where grade can be attained quickly rather than working large areas where a greater amount of frost stripping may be needed. If slab subgrade areas freeze, we recommend the subgrade be thawed to prior floor slab placement. The frost action may also require reworking and recompaction of the thawed subgrade.

Appendix A

Geotechnical Field Exploration and Testing
 Boring Log Notes
Unified Soil Classification System
 Site Location Map
 Boring Location Map
 Subsurface Boring Logs

Appendix A
Geotechnical Field Exploration and Testing
Report No. 32-20516

A.1 FIELD EXPLORATION

The subsurface conditions at the site were explored by drilling and sampling standard penetration test borings. The locations of the borings appear on the Boring Location Map, preceding the Subsurface Boring Logs in this appendix.

A.2 SAMPLING METHODS

A.2.1 Split-Spoon Samples (SS)

Standard penetration (split-spoon) samples were collected in general accordance with ASTM: D1586. The ASTM test method consists of driving a 2-inch O.D. split-barrel sampler into the in-situ soil with a 140-pound hammer dropped from a height of 30 inches. The sampler is driven a total of 18 inches into the soil. After an initial set of 6 inches, the number of hammer blows to drive the sampler the final 12 inches is known as the standard penetration resistance or N-value.

A.2.2 Disturbed Samples (DS)/Spin-up Samples (SU)

Sample types described as “DS” or “SU” on the boring logs are disturbed samples, which are taken from the flights of the auger. Because the auger disturbs the samples, possible soil layering and contact depths should be considered approximate.

A.2.3 Sampling Limitations

Unless actually observed in a sample, contacts between soil layers are estimated based on the spacing of samples and the action of drilling tools. Cobbles, boulders, and other large objects generally cannot be recovered from test borings, and they may be present in the ground even if they are not noted on the boring logs.

Determining the thickness of “topsoil” layers is usually limited, due to variations in topsoil definition, sample recovery, and other factors. Visual-manual description often relies on color for determination, and transitioning changes can account for significant variation in thickness judgment. Accordingly, the topsoil thickness presented on the logs should not be the sole basis for calculating topsoil stripping depths and volumes. If more accurate information is needed relating to thickness and topsoil quality definition, alternate methods of sample retrieval and testing should be employed.

A.3 CLASSIFICATION METHODS

Soil descriptions shown on the boring logs are based on the Unified Soil Classification (USC) system. The USC system is described in ASTM: D2487 and D2488. Where laboratory classification tests (sieve analysis or Atterberg Limits) have been performed, accurate classifications per ASTM: D2487 are possible. Otherwise, soil descriptions shown on the boring logs are visual-manual judgments. Charts are attached which provide information on the USC system, the descriptive terminology, and the symbols used on the boring logs.

Visual-manual judgment of the AASHTO Soil Group is also noted as a part of the soil description. A chart presenting details of the AASHTO Soil Classification System is also attached.

The boring logs include descriptions of apparent geology. The geologic depositional origin of each soil layer is interpreted primarily by observation of the soil samples, which can be limited. Observations of the surrounding topography, vegetation, and development can sometimes aid this judgment.

A.4 WATER LEVEL MEASUREMENTS

The ground water level measurements are shown at the bottom of the boring logs. The following information appears under “Water Level Measurements” on the logs:

- ◆ Date and Time of measurement
- ◆ Sampled Depth: lowest depth of soil sampling at the time of measurement
- ◆ Casing Depth: depth to bottom of casing or hollow-stem auger at time of measurement
- ◆ Cave-in Depth: depth at which measuring tape stops in the borehole
- ◆ Water Level: depth in the borehole where free water is encountered
- ◆ Drilling Fluid Level: same as Water Level, except that the liquid in the borehole is drilling fluid

Appendix A
Geotechnical Field Exploration and Testing
Report No. 32-20516

The true location of the water table at the boring locations may be different than the water levels measured in the boreholes. This is possible because there are several factors that can affect the water level measurements in the borehole. Some of these factors include: permeability of each soil layer in profile, presence of perched water, amount of time between water level readings, presence of drilling fluid, weather conditions, and use of borehole casing.

A.5 LABORATORY TEST METHODS

A.5.1 Water Content Tests

Conducted per AET Procedure 01-LAB-010, which is performed in general accordance with ASTM: D2216 and AASHTO: T265.

A.5.2 Atterberg Limits Tests

Conducted per AET Procedure 01-LAB-030, which is performed in general accordance with ASTM: D4318 and AASHTO: T89, T90.

A.5.3 Sieve Analysis of Soils (thru #200 Sieve)

Conducted per AET Procedure 01-LAB-040, which is performed in general conformance with ASTM: D6913, Method A.

A.5.4 Particle Size Analysis of Soils (with hydrometer)

Conducted per AET Procedure 01-LAB-050, which is performed in general accordance with ASTM: D422 and AASHTO: T88.

A.5.5 Unconfined Compressive Strength of Cohesive Soil

Conducted per AET Procedure 01-LAB-080, which is performed in general accordance with ASTM: D2166 and AASHTO: T208.

A.5.6 Laboratory Soil Resistivity using the Wenner Four-Electrode Method

Conducted per AET Procedure 01-LAB-090, which is performed using Soil Box apparatus in the laboratory in general accordance with ASTM: G57

A.6 TEST STANDARD LIMITATIONS

Field and laboratory testing is done in general conformance with the described procedures. Compliance with any other standards referenced within the specified standard is neither inferred nor implied.

A.7 SAMPLE STORAGE

Unless notified to do otherwise, we routinely retain representative samples of the soils recovered from the borings for a period of 30 days.

BORING LOG NOTES

DRILLING AND SAMPLING SYMBOLS

Symbol	Definition
B,H,N:	Size of flush-joint casing
CA:	Crew Assistant (initials)
CAS:	Pipe casing, number indicates nominal diameter in inches
CC:	Crew Chief
COT:	Clean-out tube
DC:	Drive casing; number indicates diameter in inches
DM:	Drilling mud or bentonite slurry
DR:	Driller (initials)
DS:	Disturbed sample from auger flights
FA:	Flight Auger; number indicates outside diameter in inches
HSA:	Hollow stem auger; number indicates inside diameter in inches
LG:	Field logger (initials)
MC:	Column used to describe moisture condition of samples and for the ground water level symbols
N (BPF):	Standard penetration resistance (N-value) in blows per foot (see notes)
NQ:	NQ wireline core barrel
PQ:	PQ wireline core barrel
RD:	Rotary drilling with fluid and roller or drag bit
REC:	In California-spoon, split-spoon (see notes) and thin-walled tube sample, the recovered length (in inches) of sample. In rock coring, the length of core recovered (expressed as percent of the total core run.) Zero indicates no sample recovered.
REV:	Revert drilling fluid
2L:	California-spoon sampler (steel; 2" inside diameter with 4" long brass liners; 3" outside diameter)
SS:	Standard split-spoon sample (steel; 1 3/8" inside diameter; 2" outside diameter); unless indicated otherwise
SU:	Spin-up sample from hollow stem auger
TW:	Thin-walled tube; number indicates inside diameter in inches
WASH:	Sample of material obtained by screening returning rotary drilling fluid or by which has collected inside the borehole after "falling" through drilling fluid
WH:	Sampler advanced by static weight of drill rod and 140-pound hammer
WR:	Sampler advanced by static weight of drill rod
94mm:	94 millimeter wireline core barrel
▼:	Water level directly measured in boring
▽:	Estimated water level based solely on sample appearance

TEST SYMBOLS

Symbol	Definition
CONS:	One-dimensional consolidation test
DEN:	Dry density; pcf
DST:	Direct shear test
E:	Pressuremeter Modulus, tsf
HYD:	Hydrometer analysis
LL:	Liquid Limit, %
LP:	Pressuremeter Limit Pressure, tsf
OC:	Organic Content, %
PERM:	Coefficient of permeability (K) test; F- Field; L - Laboratory
PL:	Plastic Limit, %
q _p :	Pocket Penetrometer strength, tsf (approximate)
q _c :	Static cone bearing pressure, tsf
q _u :	Unconfined compressive strength, psf
R:	Electrical Resistivity, ohm-cms
RQD:	Rock Quality Designation of Rock Core, in percent (aggregate length of core pieces 4" or more in length as a percent of total core run)
SA:	Sieve Analysis
TRX:	Triaxial compression test
VSR:	Vane shear strength, remoulded (field), psf
VSU:	Vane shear strength, undisturbed (field) psf
WC:	Water content, as percent of dry weight
%-200:	Percent of material finer than #200 sieve

STANDARD PENETRATION TEST NOTES

The standard penetration test consists of driving the sampler with a 140 pound hammer and counting the number of blows applied in each of three 6" increments of penetration. If the sampler is driven less than 18" (usually in highly resistant material), permitted in ASTM:D1586, the blows for each complete 6" increment and for each partial increment is on the boring log. For partial increments, the number of blows is shown to the nearest 0.1' below the slash.

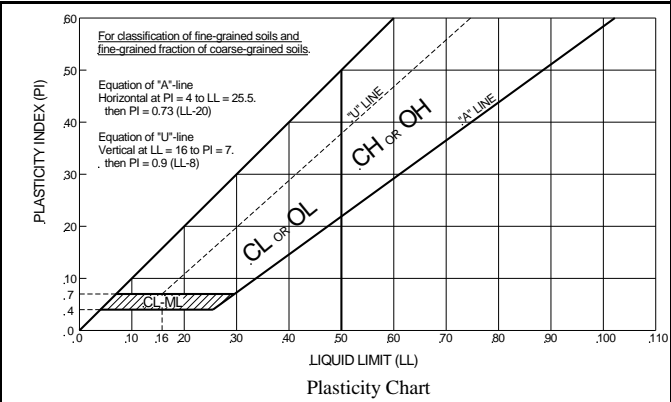
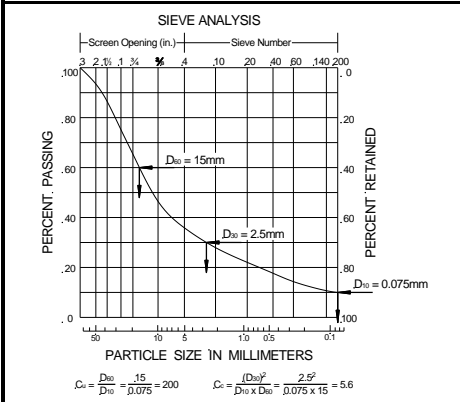
The length of the sample recovered, as shown on the "REC" column, may be greater than the distance indicated in the N column. The disparity is because the N-value is recorded below the initial 6" set (unless partial penetration defined in ASTM:D1586 is encountered) whereas the length of sample recovered is for the entire sampler driver (which may even extend more than 18").



UNIFIED SOIL CLASSIFICATION SYSTEM ASTM Designations: D 2487, D2488

**AMERICAN
ENGINEERING TESTING,
INC.**

Criteria for Assigning Group Symbols and Group Names Using Laboratory Tests ^A			Soil Classification		Notes	
			Group Symbol	Group Name ^B		
Coarse-Grained Soils More than 50% retained on No. 200 sieve	Gravels More than 50% coarse fraction retained on No. 4 sieve	Clean Gravels Less than 5% fines ^C	$Cu \geq 4$ and $1 < Cc \leq 3^E$	GW	Well graded gravel ^F	^A Based on the material passing the 3-in (75-mm) sieve. ^B If field sample contained cobbles or boulders, or both, add "with cobbles or boulders, or both" to group name. ^C Gravels with 5 to 12% fines require dual symbols: GW-GM well-graded gravel with silt GW-GC poorly graded gravel with clay GP-GM poorly graded gravel with silt GP-GC poorly graded gravel with clay ^D Sands with 5 to 12% fines require dual symbols: SW-SM well-graded sand with silt SW-SC well-graded sand with clay SP-SM poorly graded sand with silt SP-SC poorly graded sand with clay ^E $Cu = D_{60} / D_{10}$, $Cc = (D_{30})^2 / D_{10} \times D_{60}$ ^F If soil contains $\geq 15\%$ sand, add "with sand" to group name. ^G If fines classify as CL-ML, use dual symbol GC-GM, or SC-SM. ^H If fines are organic, add "with organic fines" to group name. ^I If soil contains $\geq 15\%$ gravel, add "with gravel" to group name. ^J If Atterberg limits plot is hatched area, soils is a CL-ML silty clay. ^K If soil contains 15 to 29% plus No. 200 add "with sand" or "with gravel", whichever is predominant. ^L If soil contains $\geq 30\%$ plus No. 200, predominantly sand, add "sandy" to group name. ^M If soil contains $\geq 30\%$ plus No. 200, predominantly gravel, add "gravelly" to group name. ^N $PI \geq 4$ and plots on or above "A" line. ^O $PI < 4$ or plots below "A" line. ^P PI plots on or above "A" line. ^Q PI plots below "A" line. ^R Fiber Content description shown below.
		Gravels with Fines more than 12% fines ^C	Fines classify as ML or MH	GM	Silty gravel ^{F,G,H}	
	Sands 50% or more of coarse fraction passes No. 4 sieve	Clean Sands Less than 5% fines ^D	$Cu \geq 6$ and $1 < Cc \leq 3^E$	SW	Well-graded sand ^I	
	Sands with Fines more than 12% fines ^D	Fines classify as ML or MH	$Cu < 6$ and $1 > Cc > 3^E$	SP	Poorly-graded sand ^I	
	Sands with Fines more than 12% fines ^D	Fines classify as ML or MH	Fines classify as ML or MH	SM	Silty sand ^{G,H,I}	
	Sands with Fines more than 12% fines ^D	Fines classify as CL or CH	Fines classify as CL or CH	SC	Clayey sand ^{G,H,I}	
Fine-Grained Soils 50% or more passes the No. 200 sieve (see Plasticity Chart below)	Sils and Clays Liquid limit less than 50	inorganic	$PI > 7$ and plots on or above "A" line ^E	CL	Lean clay ^{K,L,M}	
		organic	$PI < 4$ or plots below "A" line ^E	ML	Silt ^{K,L,M}	
	Sils and Clays Liquid limit 50 or more	inorganic	Liquid limit—oven dried < 0.75 Liquid limit – not dried	OL	Organic clay ^{K,L,M,N}	
		organic	Liquid limit—oven dried < 0.75 Liquid limit – not dried	OH	Organic clay ^{K,L,M,P}	
		inorganic	PI plots on or above "A" line	CH	Fat clay ^{K,L,M}	
		organic	PI plots below "A" line	MH	Elastic silt ^{K,L,M}	
Highly organic soil	Primarily organic matter, dark in color, and organic in odor		PT	Peat ^R		



ADDITIONAL TERMINOLOGY NOTES USED BY AET FOR SOIL IDENTIFICATION AND DESCRIPTION

Grain Size		Gravel Percentages		Consistency of Plastic Soils		Relative Density of Non-Plastic Soils	
Term	Particle Size	Term	Percent	Term	N-Value, BPF	Term	N-Value, BPF
Boulders	Over 12"	A Little Gravel	3% - 14%	Very Soft	less than 2	Very Loose	0 - 4
Cobbles	3" to 12"	With Gravel	15% - 29%	Soft	2 - 4	Loose	5 - 10
Gravel	#4 sieve to 3"	Gravelly	30% - 50%	Firm	5 - 8	Medium Dense	11 - 30
Sand	#200 to #4 sieve			Stiff	9 - 15	Dense	31 - 50
Fines (silt & clay)	Pass #200 sieve			Very Stiff	16 - 30	Very Dense	Greater than 50
				Hard	Greater than 30		
Moisture/Frost Condition (MC Column)		Layering Notes		Fiber Content of Peat		Organic/Roots Description (if no lab tests)	
D (Dry):	Absence of moisture, dusty, dry to touch.	Laminations:	Layers less than 1/2" thick of differing material or color.	Term	Fiber Content (Visual Estimate)	Soils are described as <i>organic</i> , if soil is not peat and is judged to have sufficient organic fines content to influence the soil properties. <i>Slightly organic</i> used for borderline cases.	
M (Moist):	Damp, although free water not visible. Soil may still have a high water content (over "optimum").	Lenses:	Pockets or layers greater than 1/2" thick of differing material or color.	Fibric Peat:	Greater than 67%		
W (Wet/ Waterbearing):	Free water visible intended to describe non-plastic soils. Waterbearing usually relates to sands and sand with silt.			Hemic Peat:	33 - 67%		
F (Frozen):	Soil frozen			Sapric Peat:	Less than 33%		
						With roots:	Judged to have sufficient quantity of roots to influence the soil properties.
						Trace roots:	Small roots present, but not judged to be in sufficient quantity to significantly affect soil properties.



SITE LOCATION



**AMERICAN
ENGINEERING
TESTING, INC.**

PROJECT: PROPOSED STORM SHELTER
WAUBAY, SOUTH DAKOTA

PROJECT NO.
32-20516

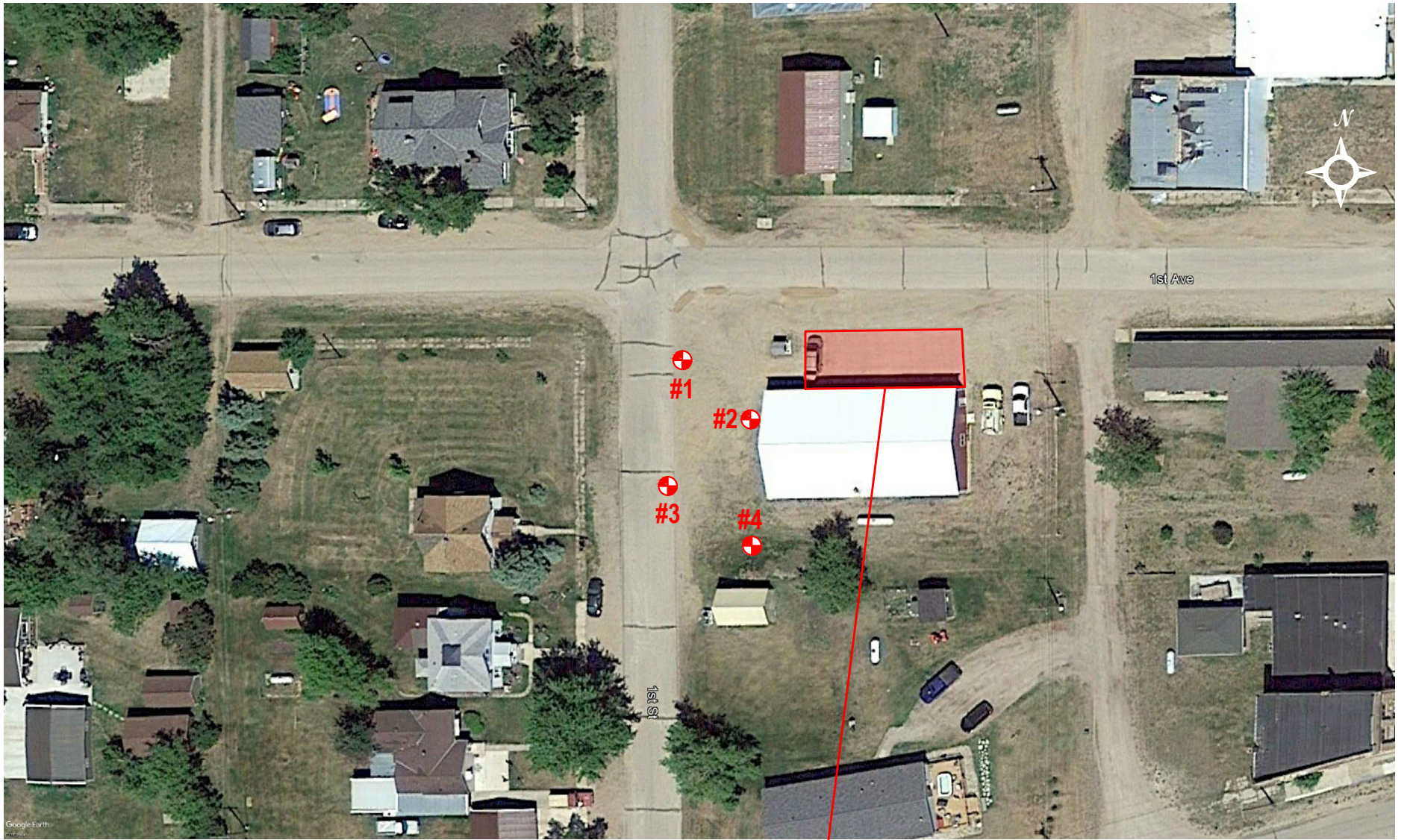
SUBJECT: SITE LOCATION MAP

DATE:
06/01/2020

SCALE: NONE

DRAWN BY: ZLH

REVIEWED BY: BWC



REFERENCE POINT

⊕ - BORING LOCATION



PROJECT: PROPOSED STORM SHELTER
WAUBAY, SOUTH DAKOTA

PROJECT NO.
32-20516

SUBJECT: BORING LOCATION MAP

DATE:
05/18/2020

SCALE: NONE

DRAWN BY:
ZLH

REVIEWED BY:
BWC



SUBSURFACE BORING LOG

AET No: 32-20516

Log of Boring No. 1 (p. 1 of 1)

Project: Storm Shelter Project; Waubay, South Dakota

DEPTH IN FEET	Surface Elevation <u>98.8'</u> MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN.	FIELD & LABORATORY TESTS					
							WC	DEN	LL	PL	%-#200	
1	FILL, mixture of CLAYEY SAND and SAND, with a little gravel, brown, moist	FILL	12	M	SS	18						
2												
3	SAND, fine to medium grained, with a little gravel, brown, moist, loose to medium dense to loose to medium dense, lenses of clayey sand (SP)	COARSE ALLUVIUM	10	M	SS	16						
4												
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												
15												
16			END OF BORING									

AET_CORP 32-20516.GPJ AET+CPT+WELL_20181012_JG.GDT 5/28/20

DEPTH:	DRILLING METHOD	WATER LEVEL MEASUREMENTS							NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG
0-14½'	3.25" HSA	DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL	WATER LEVEL	
		5/20/20	10:36	16'	14.5'	16'		None	
BORING COMPLETED: 5/20/20									
DR: RH LG: MH Rig: 63									



SUBSURFACE BORING LOG

AET No: 32-20516

Log of Boring No. 2 (p. 1 of 1)

Project: Storm Shelter Project; Waubay, South Dakota

DEPTH IN FEET	Surface Elevation <u>99.7'</u> MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN.	FIELD & LABORATORY TESTS						
							WC	DEN	LL	PL	%-#200		
1	FILL, mixture of CLAYEY SAND and SAND, with a little gravel, brown, moist	FILL	9	M	SS	14							
2													
3	SAND, fine to medium grained, with a little gravel, brown, moist, medium dense to loose to medium dense, a lens of sandy lean clay at 5' (SP)	COARSE ALLUVIUM	12	M	SS	16							
4													
5													
6													
7													
8					8	M	SS	12					
9													
10					9	M	SS	13					
11													
12													
13			14	M	SS	14							
14													
15													
16	END OF BORING												

AET_CORP 32-20516.GPJ AET+CPT+WELL_20181012_JG.GDT 5/28/20

DEPTH:	DRILLING METHOD	WATER LEVEL MEASUREMENTS						NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG	
0-14½'	3.25" HSA	DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL		WATER LEVEL
		5/20/20	11:12	16'	14.5'	16'			None
BORING COMPLETED: 5/20/20									
DR: RH LG: MH Rig: 63									



SUBSURFACE BORING LOG

AET No: 32-20516

Log of Boring No. 3 (p. 1 of 1)

Project: Storm Shelter Project; Waubay, South Dakota

DEPTH IN FEET	Surface Elevation <u>99.6'</u> MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN.	FIELD & LABORATORY TESTS					
							WC	DEN	LL	PL	%-#200	
1	FILL, mixture of SANDY LEAN CLAY and CLAYEY SAND, with a little gravel, brown, moist, cobbles at 6'	FILL	13	M	SS	18						
2												
3			5	M	SS	10	7				13	
4												
5			4	M	SS	13	7					
6												
7	SAND, fine to medium grained, with a little gravel, moist, loose to medium dense (SP)	COARSE ALLUVIUM	7	M	SS	12						
8												
9												
10			7	M	SS	14						
11												
12												
13			13	M	SS	13						
14												
15			11	M	SS	11						
16	END OF BORING											

AET_CORP 32-20516.GPJ AET+CPT+WELL_20181012_JG.GDT 5/28/20

DEPTH:	DRILLING METHOD	WATER LEVEL MEASUREMENTS							NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG
		DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL	WATER LEVEL	
0-14½'	3.25" HSA	5/20/20	1:03	16'	14.5'	16'		None	
BORING COMPLETED: 5/20/20									
DR: RH LG: MH Rig: 63									



SUBSURFACE BORING LOG

AET No: 32-20516

Log of Boring No. 4 (p. 1 of 1)

Project: Storm Shelter Project; Waubay, South Dakota

DEPTH IN FEET	Surface Elevation <u>99.6'</u> MATERIAL DESCRIPTION	GEOLOGY	N	MC	SAMPLE TYPE	REC IN.	FIELD & LABORATORY TESTS					
							WC	DEN	LL	PL	%-#200	
1	FILL, mixture of CLAYEY SAND and SAND, with a little gravel, brown, moist	FILL	6	M	SS	17						
2												
3	SAND, fine to medium grained, with a little gravel, brown, moist, medium dense to loose, lenses of clayey sand (SP)	COARSE ALLUVIUM	13	M	SS	16						
4												
5												
6												
7												
8												
9												
10												
11												
12												
13												
14												
15												
16	END OF BORING											

AET_CORP 32-20516.GPJ AET+CPT+WELL_20181012_JG.GDT 5/28/20

DEPTH:	DRILLING METHOD	WATER LEVEL MEASUREMENTS							NOTE: REFER TO THE ATTACHED SHEETS FOR AN EXPLANATION OF TERMINOLOGY ON THIS LOG
0-14½'	3.25" HSA	DATE	TIME	SAMPLED DEPTH	CASING DEPTH	CAVE-IN DEPTH	DRILLING FLUID LEVEL	WATER LEVEL	
		5/20/20	11:41	16'	14.5'	16'		None	
BORING COMPLETED: 5/20/20									
DR: RH LG: MH Rig: 63									

Report of Geotechnical Exploration and Review

Proposed Storm Shelter Building, Waubay, SD

June 1, 2020

Report No. 32-20516

AMERICAN

ENGINEERING

TESTING, INC.

Appendix B

Geotechnical Report Limitations and Guidelines for Use

Appendix B

Geotechnical Report Limitations and Guidelines for Use

Report No. 32-20516

B.1 REFERENCE

This appendix provides information to help you manage your risks relating to subsurface problems which are caused by construction delays, cost overruns, claims, and disputes. This information was developed and provided by GBA¹, of which, we are a member firm.

B.2 RISK MANAGEMENT INFORMATION

B.2.1 Understand the Geotechnical Engineering Services Provided for this Report

Geotechnical engineering services typically include the planning, collection, interpretation, and analysis of exploratory data from widely spaced borings and/or test pits. Field data are combined with results from laboratory tests of soil and rock samples obtained from field exploration (if applicable), observations made during site reconnaissance, and historical information to form one or more models of the expected subsurface conditions beneath the site. Local geology and alterations of the site surface and subsurface by previous and proposed construction are also important considerations. Geotechnical engineers apply their engineering training, experience, and judgment to adapt the requirements of the prospective project to the subsurface model(s). Estimates are made of the subsurface conditions that will likely be exposed during construction as well as the expected performance of foundations and other structures being planned and/or affected by construction activities.

The culmination of these geotechnical engineering services is typically a geotechnical engineering report providing the data obtained, a discussion of the subsurface model(s), the engineering and geologic engineering assessments and analyses made, and the recommendations developed to satisfy the given requirements of the project. These reports may be titled investigations, explorations, studies, assessments, or evaluations. Regardless of the title used, the geotechnical engineering report is an engineering interpretation of the subsurface conditions within the context of the project and does not represent a close examination, systematic inquiry, or thorough investigation of all site and subsurface conditions.

B.2.2 Geotechnical Engineering Services are Performed for Specific Purposes, Persons, and Projects, and At Specific Times

Geotechnical engineers structure their services to meet the specific needs, goals, and risk management preferences of their clients. A geotechnical engineering study conducted for a given civil engineer will not likely meet the needs of a civil-works constructor or even a different civil engineer. Because each geotechnical engineering study is unique, each geotechnical engineering report is unique, prepared solely for the client.

Likewise, geotechnical engineering services are performed for a specific project and purpose. For example, it is unlikely that a geotechnical engineering study for a refrigerated warehouse will be the same as one prepared for a parking garage; and a few borings drilled during a preliminary study to evaluate site feasibility will not be adequate to develop geotechnical design recommendations for the project.

Do not rely on this report if your geotechnical engineer prepared it:

- for a different client;
- for a different project or purpose;
- for a different site (that may or may not include all or a portion of the original site); or
- before important events occurred at the site or adjacent to it; e.g., man-made events like construction or environmental remediation, or natural events like floods, droughts, earthquakes, or groundwater fluctuations.

Note, too, the reliability of a geotechnical-engineering report can be affected by the passage of time, because of factors like changed subsurface conditions; new or modified codes, standards, or regulations; or new techniques or tools. If you are the least bit uncertain about the continued reliability of this report, contact your geotechnical engineer before applying the recommendations in it. A minor amount of additional testing or analysis after the passage of time – if any is required at all – could prevent major problems.

¹ Geoprofessional Business Association, 1300 Piccard Drive, LL14, Rockville, MD 20850
Telephone: 301/565-2733; www.geoprofessional.org, 2019

Appendix B

Geotechnical Report Limitations and Guidelines for Use

Report No. 32-20516

B.2.3 Read the Full Report

Costly problems have occurred because those relying on a geotechnical-engineering report did not read the report in its entirety. Do not rely on an executive summary. Do not read selective elements only. Read and refer to the report in full.

B.2.4 You Need to Inform Your Geotechnical Engineer About Change

Your geotechnical engineer considered unique, project-specific factors when developing the scope of study behind this report and developing the confirmation-dependent recommendations the report conveys. Typical changes that could erode the reliability of this report include those that affect:

- the site's size or shape;
- the elevation, configuration, location, orientation, function or weight of the proposed structure and the desired performance criteria;
- the composition of the design team; or
- project ownership.

As a general rule, always inform your geotechnical engineer of project or site changes – even minor ones – and request an assessment of their impact. The geotechnical engineer who prepared this report cannot accept responsibility or liability for problems that arise because the geotechnical engineer was not informed about developments the engineer otherwise would have considered.

B.2.5 Most of the “Findings” Related in This Report Are Professional Opinions

Before construction begins, geotechnical engineers explore a site's subsurface using various sampling and testing procedures. Geotechnical engineers can observe actual subsurface conditions only at those specific locations where sampling and testing is performed. The data derived from that sampling and testing were reviewed by your geotechnical engineer, who then applied professional judgement to form opinions about subsurface conditions throughout the site. Actual sitewide-subsurface conditions may differ – maybe significantly – from those indicated in this report. Confront that risk by retaining your geotechnical engineer to serve on the design team through project completion to obtain informed guidance quickly, whenever needed.

B.2.6 This Report's Recommendations Are Confirmation-Dependent

The recommendations included in this report – including any options or alternatives – are confirmation-dependent. In other words, they are not final, because the geotechnical engineer who developed them relied heavily on judgement and opinion to do so. Your geotechnical engineer can finalize the recommendations only after observing actual subsurface conditions exposed during construction. If through observation your geotechnical engineer confirms that the conditions assumed to exist actually do exist, the recommendations can be relied upon, assuming no other changes have occurred. The geotechnical engineer who prepared this report cannot assume responsibility or liability for confirmation-dependent recommendations if you fail to retain that engineer to perform construction observation.

B.2.7 This Report Could Be Misinterpreted

Other design professionals' misinterpretation of geotechnical engineering reports has resulted in costly problems. Confront that risk by having your geotechnical engineer serve as a continuing member of the design team, to:

- confer with other design-team members;
- help develop specifications;
- review pertinent elements of other design professionals' plans and specifications; and
- be available whenever geotechnical engineering guidance is needed.

You should also confront the risk of constructors misinterpreting this report. Do so by retaining your geotechnical engineer to participate in prebid and preconstruction conferences and to perform construction-phase observations.

B.2.8 Give Constructors a Complete Report and Guidance

Some owners and design professionals mistakenly believe they can shift unanticipated-subsurface-conditions liability to constructors by limiting the information they provide for bid preparation. To help prevent the costly, contentious problems this practice has caused, include the complete geotechnical engineering report, along with any attachments or appendices, with your contract documents, but be certain to note conspicuously that you've included the material for information purposes only. To avoid misunderstanding, you may also want to note that “informational purposes” means constructors have no right to rely on the interpretations, opinions, conclusions, or recommendations in the report. Be certain that constructors know they may learn about

Appendix B

Geotechnical Report Limitations and Guidelines for Use

Report No. 32-20516

specific project requirements, including options selected from the report, only from the design drawings and specifications. Remind constructors that they may perform their own studies if they want to, and be sure to allow enough time to permit them to do so. Only then might you be in a position to give constructors the information available to you, while requiring them to at least share some of the financial responsibilities stemming from unanticipated conditions. Conducting prebid and preconstruction conferences can also be valuable in this respect.

B.2.9 Read Responsibility Provisions Closely

Some client representatives, design professionals, and constructors do not realize that geotechnical engineering is far less exact than other engineering disciplines. This happens in part because soil and rock on project sites are typically heterogeneous and not manufactured materials with well-defined engineering properties like steel and concrete. That lack of understanding has nurtured unrealistic expectations that have resulted in disappointments, delays, cost overruns, claims, and disputes. To confront that risk, geotechnical engineers commonly include explanatory provisions in their reports. Sometimes labeled “limitations,” many of these provisions indicate where geotechnical engineers’ responsibilities begin and end, to help others recognize their own responsibilities and risks. Read these provisions closely. Ask questions. Your geotechnical engineer should respond fully and frankly.

B.2.10 Geoenvironmental Concerns Are Not Covered

The personnel, equipment, and techniques used to perform an environmental study – e.g., a “phase-one” or “phase-two” environmental site assessment – differ significantly from those used to perform a geotechnical engineering study. For that reason, a geotechnical engineering report does not usually provide environmental findings, conclusions, or recommendations; e.g., about the likelihood of encountering underground storage tanks or regulated contaminants. Unanticipated subsurface environmental problems have led to project failures. If you have not obtained your own environmental information about the project site, ask your geotechnical consultant for a recommendation on how to find environmental risk-management guidance.

B.2.11 Obtain Professional Assistance to Deal with Moisture Infiltration and Mold

While your geotechnical engineer may have addressed groundwater, water infiltration, or similar issues in this report, the engineer’s services were not designed, conducted, or intended to prevent migration of moisture – including water vapor – from the soil through building slabs and walls and into the building interior, where it can cause mold growth and material-performance deficiencies. Accordingly, proper implementation of the geotechnical engineer’s recommendations will not of itself be sufficient to prevent moisture infiltration. Confront the risk of moisture infiltration by including building-envelope or mold specialists on the design team. Geotechnical engineers are not building-envelope or mold specialists.